

2152

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/696,893
Filing Date	October 26, 2000
First Named Inventor	Fred Cohen
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	511-000110US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

PTO Form 1449; Cited References (18); receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

RECEIVED

FEB 12 2004

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Technology Center 2100

Firm or Individual name

Stephen J. LeBlanc, Reg. No. 36,579, Quine Intellectual Property Law Group, P.C.

Signature

Stephen J. LeBlanc

Date

6 Feb 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Typed or printed name

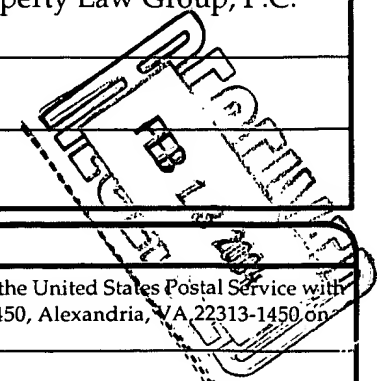
Amelia Weintraub

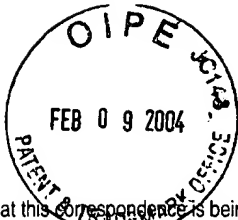
Signature

Amelia Weintraub

Date

February 6, 2004





I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on Feb. 6, 2004

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Weintraub
Amelia Weintraub

Attorney Docket No. 511-000110US
Client Ref. No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Fred Cohen, et al.

Application No.: 09/696,893

Filed: October 26, 2000

For: METHOD AND APPARATUS FOR
NETWORK
DECEPTION/EMULATION

RECEIVED

FEB 12 2004

Examiner: Unassigned

Technology Center 2100

Art Unit: 2152

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

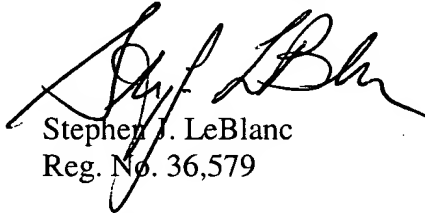
Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

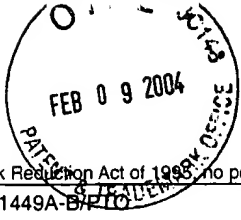
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen J. LeBlanc
Reg. No. 36,579

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877



4

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A-B7C INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
	Application Number	09/696,893
	Filing Date	October 26, 2000
	First Named Inventor	Fred Cohen
	Group Art Unit	2152
	Examiner Name	Unassigned
	Attorney Docket Number	511-000110US
	Date Submitted	February 6, 2004

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			

RECEIVED
FEB 12 2004
Technology Center 2100

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	01	BELLOVIN (1992) "There Be Dragons." Proceedings of the Third Usenix UNIX Security Symposium. Baltimore (September 1992). World Wide Web at http://www.research.att.com/~smb/papers/dragon.pdf	
	02	CHESWICK et al. (1991) "An Evening with Berferd: In Which a Cracker is Lured, Endured, and Studied". World Wide Web at http://www.deter.com/unix/papers/berferd_cheswick.pdf	
	03	CISCO SYSTEMS Inc. (1999) "Cisco IOS Network Address Translation (NAT)" World Wide Web at http://www.ieng.com/warp/public/701/60.html . Pages 1-9 of 9.	
	04	COHEN (1992) "Operating System Protection Through Program Evolution Computers and Security". World Wide Web at http://all.net/books/IP/evolve.html . Pages 1-22 of 22.	
	05	COHEN (1996) "A Note on Detecting Tampering with Audit Trails IFIP-TC11" Computers and Security. World Wide Web at http://www.all.net/books/audit/audmod.html . Pages 1-10 of 10.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
	Application Number	09/696,893
	Filing Date	October 26, 2000
	First Named Inventor	Fred Cohen
	Group Art Unit	2152
	Examiner Name	Unassigned
	Attorney Docket Number	511-000110US
	Date Submitted	February 6, 2004

06	COHEN (1996) "A Note On Distributed Coordinated Attacks". World Wide Web at http://www.all.net/books/dca/background.html . Page 1 of 1.	
07	COHEN (1996) "Internet Holes - Incident at All.Net". Network Security Magazine, April, 1996. World Wide Web at http://all.net/journal/netsec/1996-04.html . Page 1 -11 of 11 total.	
08	COHEN (1996) "Internet Holes - Internet Lightning Rods" Network Security Magazine, (July, 1996). World Wide Web at http://all.net/journal/netsec/1996-07-2.html . Pages 1-5 of 5.	
09	COHEN (1996) "Internet Holes - The Human Element" Network Security Magazine, March, 1996. World Wide Web at http://all.net/journal/netsec/1996-03.html . Pages 1-5 of 5.	
10	COHEN (1996) "National Info-Sec Technical Baseline - Intrusion Detection and Response" World Wide Web at http://www.all.net/journal/ntb/ids.html . Pages 1-21 of 21.	
11	COHEN (1996) "Why is thttpd Secure?" Computers and Security. World Wide Web at http://www.all.net/journal/white/whitepaper.html . Pages 1-11 of 11 total.	
12	COHEN (1998) "National InfoSec Technical Baseline - At the Intersection of Security, Networking, and Management" World Wide Web at http://www.all.net/journal/ntb/nsm.html . Pages 1-17 of 17.	
13	ENTERASYS Networks (2000) "Network Address Translation" World Wide Web at http://www.enterasys.com/products/whitepapers/ssr/network-trans/ . Pages 1-6 of 6.	
14	HASENSTEIN (1997) "DIPLOMARBEIT: IP Network Address Translation" World Wide Web at http://www.suse.de/~mha/linux-ip-nat/diplom/ . 32 pages	
15	MICROSOFT Corporation (2000) "Microsoft TechNet: SNA Open Gateway Architecture" World Wide Web at http://www.microsoft.com/TechNet/sna/technote/soga.asp . Pages 1-20	
16	MICROSOFT Corporation (2000) "Microsoft Windows 2000 Server Documentation" World Wide Web at http://www.windows.com/windows2000/en/server/help/sag_rras-ch2-adv_11.htm . Page 1 of 1.	
17	SIX SIGMA Networks (2000) "Types of Internet Gateways" World Wide Web at http://www.sohointer.net/learn/gateways.htm , Pages 1-15 of 15 total.	
18	VICOM Technology Ltd. (2000) "ViComSoft Knowledge Share Firewall: Q & A". World Wide Web at http://www.vicomsoft.com/knowledge/reference/firewalls1.html . Pages 1-10 of 10.	

RECEIVED

FEB 12 2004

Technology Center 2100

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.